

Securities and Exchange Board of India

Annexure-B

Data of Compliants against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-

Format for dislosing data of complaints on their websites:

Data for the month ending 30.09.2023

SN	Received from	carried forward from previous month	Received during the month	Total Pending#	Resloved*	Pending at the end of the month	Pending at the end of the month	Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	2	0	0	2	0	0	
2	SEBI (SCROES)	5	0	0	5	0	0	
3	Stock Exchanges (if relavant)	2	0	0	2	0	0	
4	Other Sources (if any)	0	0	0	0	0	0	
5	Grand Total	9	0	0	9	0	0	

* Should include compliants of previous months resloved in the current in the, if any.

** Should include total complaints pending as on last day of the month, if any.

^Average resolution time is the Sum total of time taken to reslove each compliants in the current month divided by total number of compliants resolved in